

MAR 26 2009

FAX TRANSMISSION**DATE:** March 26, 2009**PTO IDENTIFIER:** Application Number 10/510,276-Conf. #9537
Patent Number**Inventor:** Robyn O'HEHIR et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** LAHIVE & COCKFIELD, LLP

Jill Gorny Sloper, Esq.

PHONE: (617) 994-0869**Attorney Dkt. #:** JKJ-003US**PAGES (Including Cover Sheet):** 9**CONTENTS:** Transmittal (1 page)
Power of Attorney and Correspondence Address Indication Form (2 pages)
Copy of Assignment Document from Monash University to Circassia Limited (3 pages)
Statement Under 37 CFR 3.73 (b) (1 page)
Certificate of Transmission (1 page)

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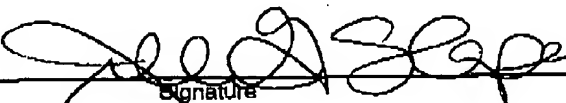
Application No. (if known): 10/510,278

Attorney Docket No.: JKJ-003US

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Statement Under 37 CFR 3.73 (b) (1 page)

MAR 26 2009

PTO/SB/21 (01-09)

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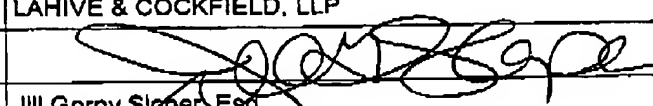
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/510,276-Conf. #9537
	Filing Date	August 22, 2005
	First Named Inventor	Robyn O'HEHIR
	Art Unit	1644
	Examiner Name	N. M. Rooney
Total Number of Pages in This Submission	Attorney Docket Number	JKJ-003US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.63	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 35 CFR 3.73 (b) Copy of Assignment Document from Monash University to Circassia Limited
Remarks Assignment from Monash University to Circassia Limited is being submitted to the Division for recordation.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	LAHIVE & COCKFIELD, LLP		
Signature			
Printed name	Jill Gorny Sloper, Esq.		
Date	March 26, 2009	Reg. No.	60,760

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300 at Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 26, 2009

Signature: 

(Jill Gorny Sloper, Esq.)

MAR 26 2009

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Robyn O'Hehir et alApplication No./Patent No.: 10/510276 Filed/Issue Date: August 22, 2005Titled: IMMUNOTHERAPEUTIC AND IMMUNOPROPHYLACTIC REAGENTSCircassia Limited
(Name of Assignee)Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: Monash University
The document was recorded in the United States Patent and Trademark Office at Reel 016653, Frame 0115, or for which a copy thereof is attached.
2. From: Monash University To: Circassia Limited
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature] March 26, 2009
Signature Date
Jill Gomy Sloper, Esq. Attorney for Assignee
Printed or Typed Name Title

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300 at Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 26, 2009

Signature: [Signature] (Jill Gomy Sloper, Esq.)

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Docket No.: JKJ-003US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Robyn O'Hehir *et al.*

Application No.: 10/510,276

Confirmation No.: 9537

Filed: August 22, 2005

Art Unit: 1644

For: IMMUNOTHERAPEUTIC AND
IMMUNOPRPHYLACTIC REAGENTS

Examiner: N. M. Rooney

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**POWER OF ATTORNEY AND CORRESPONDENCE ADDRESS INDICATION FORM**

Dear Sir:

Circassia Ltd., the Assignee of the entire right, title and interest in the above-identified application hereby appoint all attorneys associated with

Customer Number 00959

jointly and severally, my attorneys at law/patent agent(s), with full power of substitution and delegation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Jane E. Remillard, Esq. of Lahive & Cockfield, LLP at
Customer Number 00959 whose address is:

Lahive & Cockfield, LLP
One Post Office Square
Boston, Massachusetts 02109-2127

Application No.: 10/510,276

Docket No.: JKJ-003US

Please direct all telephone calls to: Jane E. Remillard, Esq. at (617) 994-0774

Please direct facsimiles to: (617) 742-4214

By: 

Typed Name: C.S.W. SWINGLAND

Title: DEPUTY CHAIRMAN

Dated: 19 NOVEMBER 2008

the 26 Day of November 2007

MONASH UNIVERSITY,

AND

CIRCASSIA LIMITED

DEED OF ASSIGNMENT

T cell epitopes of the Cyn d 1 allergen from Bermuda grass pollen
and
Immunotherapeutics and Immunoprophylatic reagents.

DEED OF ASSIGNMENT

This Deed of Assignment is made the 26 day of November 2007

BETWEEN

MONASH UNIVERSITY, a body corporate established under the Monash University Act 1958 acting through its Faculty of Medicine Nursing and Health Sciences of Wellington Road, Clayton, Victoria ("Monash")

AND

CIRCASSIA LIMITED of Magdalen Centre, Robert Robinson Avenue, Oxford Science Park, Oxford OX4 4GA. ("the Assignee")

BACKGROUND:

- A. Monash is the applicant named in the Application.
- B. Monash has agreed to assign to the Assignee all its right, title and interest in the Application, the Technology and the Invention on the terms and conditions of this Deed.

OPERATIVE PROVISIONS:**1. DEFINITIONS**

"Application" means the Australian Patent Application described in the Schedule;

"Assignment Date" means the date of this Deed;

"Corresponding Application" means an application for a patent made in respect of the Invention which claims the same priority date as the Application or is a refiling of the Application, a divisional application, a continuation or a continuation in part and, where the Application is an application made under the Patent Cooperation Treaty, includes any national or regional phase entry resulting from that application;

"Improvement" means any invention which could not be commercially exploited without a licence to the Technology;

"Invention" means the invention in the Application;

"Patent" means the patent issuing from the Application or from any Corresponding Application;

"Technology" means the know-how, invention and other intellectual property comprised in the Application.

2. ASSIGNMENT

- 2.1 On the Assignment Date, Monash assigns to the Assignee its whole right, title and interest in:

- (a) the Application, Invention and the Patent including, without limitation, the right to be named as applicant in the Application and all Corresponding Applications;
- (b) the right to apply for and obtain patents or other similar forms of protection in respect of the Invention and the Technology throughout the world; and
- (c) its rights against any third party in respect of the Invention and the Technology, including all rights of suit and action whether past or present throughout the world,

to the extent that Monash owns any such things.

- 2.2 Without limiting the assignment provided for in clause 2.1, the Assignee grants to Monash a perpetual, irrevocable, worldwide, non-exclusive royalty-free licence to use the Technology and the Invention for research and academic purposes of Monash, PROVIDED THAT Monash shall give the Assignee first right of refusal regarding the assignment or licensing of any improvements to the Technology, such assignment or licensing to be on reasonable commercial terms to be agreed.
- 2.3 This Deed is not intended to create a partnership, joint venture or agency relationship between the parties.

Schedule

1. PCT/AU02/01283 filed in Australia on 18th September 2002 and titled 'T cell epitopes of the Cyn d 1 allergen from Bermuda grass pollen, and
2. PCT/AU03/00403 filed in Australia on 2nd April 2003 and entitled 'Immunotherapeutics and immunoprophylactic reagents.

EXECUTED AS A DEED on the 26 day of September 2007.

SIGNED for and on behalf of MONASH
UNIVERSITY by :


Signature

Professor Edwina Cornish
Name Deputy Vice-Chancellor (Research)
Monash University

Position

EXECUTED by THE ASSIGNEE in
accordance with the Corporations Act 2001


Director


Director/Secretary